

500.38017X00  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

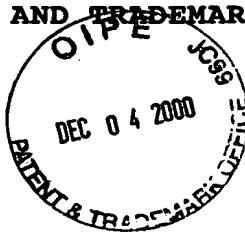
Applicants: K. KATO, et al

Serial No.: 09/446,507

Filed: December 27, 1999

For: PHASE-LOCKED LOOP CIRCUIT, INFORMATION  
PROCESSING APPARATUS, AND INFORMATION  
PROCESSING SYSTEM

Attn: Filing Receipts



REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

December 4, 2000

Sir:

Attached hereto is the Official Filing Receipt for the above-referenced application, wherein it is noted that the cities of the first, second, third and fourth inventors are incorrect and the title of the invention is incorrect.

Accordingly, it is respectfully requested that a Corrected Filing Receipt be issued indicating the cities of the first, second, third and fourth inventors to be, first inventor --NAKA-GUN; second inventor --HITACHI-SHI; third inventor --HITACHI-SHI; and fourth inventor --HACHIOJI-SHI and the title of the invention to be --PHASE-LOCKED LOOP CIRCUIT, INFORMATION PROCESSING APPARATUS, AND INFORMATION PROCESSING SYSTEM--.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

Melvin Kraus  
Registration No. 22,466

MK/jdc  
(703) 312-6600

RECEIVED  
TC 4600 MAIL ROOM  
FEB 14 2001

## FILING RECEIPT



\*OC00000005007969\*

UNITED STATES DEPARTMENT OF  
COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

09/446,507 020457	12/27/1999	2734	2036	500.38017X00	22	✓ 45	9
----------------------	------------	------	------	--------------	----	------	---

ANTONELLI TERRY STOUT & KRAUS  
1300 NORTH SEVENTEENTH STREET  
SUITE 1800  
ARLINGTON, VA 22209

*MC*

Date Mailed: 03/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

KAZUO KATO, IBARAKI, JAPAN; *Naka-gun*  
 TAKASHI SASE, IBARAKI, JAPAN; *Hitachi-shi*  
 TAKASHI HOTTA, IBARAKI, JAPAN; *Hitachi-shi*  
 HIROKAZU AOKI, TOKYO, JAPAN; *Hitachioji-shi*  
 KOZABURO KURITA, OME-SHI, JAPAN;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/JP98/02870 06/26/1998

## Foreign Applications

JAPAN 09-171470 06/27/1997  
 JAPAN 09-248701 09/12/1997

If Required, Foreign Filing License Granted 03/24/2000

RECEIVED  
 FEB 14 2001  
 TC 2600 MAILROOM

Title

*locked loop* *Processing Apparatus*  
 PHASE LOCK CIRCUIT, INFORMATION PROCESSOR, AND INFORMATION PROCESSING  
 SYSTEM

## Preliminary Class

375

Data entry by : BARRETO, NGA

Team : OIPE

Date: 03/24/2000

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov



Bib Data Sheet

SERIAL NUMBER 09/446,507	FILING DATE 12/27/1999 RULE	CLASS 375	GROUP ART UNIT 2734	ATTORNEY DOCKET NO. 500.38017X00
-----------------------------	-----------------------------------	--------------	------------------------	--

## APPLICANTS

KAZUO KATO, NAKA-GUN, JAPAN;  
 TAKASHI SASE, HITACHI-SHI, JAPAN;  
 TAKASHI HOTTA, HITACHI-SHI, JAPAN;  
 HIROKAZU AOKI, HACHIOJI-SHI, JAPAN;  
 KOZABURO KURITA, OME-SHI, JAPAN;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A 371 OF PCT/JP98/02870 06/26/1998

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

JAPAN 09-171470 06/27/1997  
 JAPAN 09-248701 09/12/1997

## IF REQUIRED, FOREIGN FILING LICENSE

GRANTED \*\* 03/24/2000

Foreign Priority claimed	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no
35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance
Verified and Acknowledged	Examiner's Signature  Initials

STATE OR COUNTRY  
JAPAN

SHEETS  
DRAWING  
22

TOTAL  
CLAIMS  
45

INDEPENDENT  
CLAIMS  
9

## ADDRESS

020457

## TITLE

PHASE-LOCKED LOOP CIRCUIT, INFORMATION PROCESSING APPARATUS, AND INFORMATION PROCESSING SYSTEM

FILING FEE RECEIVED 2036	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---